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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

### Complete if Known

Application Number	09/802,026
Filing Date	March 8, 2001
First Named Inventor	Robert R. Champion
Group Art Unit	2161
Examiner Name	To Be Assigned
Attorney Docket Number	1912-001

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### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MS		Morningstar.com - Exchange-Traded Funds: What you Should Know (available from the Internet at <a href="http://news.morningstar.com/doc/article/0,1,3503,00.htm">http://news.morningstar.com/doc/article/0,1,3503,00.htm</a> ) by CHRISTOPHER J. TRAUlsen; 08/24/00.	
I		Morningstar.com-Exchange-Traded Funds: Making Sense of the New Zoo (available from the Internet at <a href="http://morningstar.netscape.com/doc/article/0,1,3838,00.htm">http://morningstar.netscape.com/doc/article/0,1,3838,00.htm</a> ); by CHRISTOPHER J. TRAUlsen; 10/25/00.	
		Indexing Basics -(available from the Internet at <a href="http://www.indexfundsonline.com/basic.htm">http://www.indexfundsonline.com/basic.htm</a> ).	
MS		Morningstar.com-Exchange-Traded Funds: Are They Right for You? (available from the Internet at <a href="http://news.morningstar.com/doc/article/0,1,3558,00.htm">http://news.morningstar.com/doc/article/0,1,3558,00.htm</a> ) by CHRISTOPHER J. TRAUlsen; 10/25/00.	

Examiner Signature		Date Considered	1/6/05
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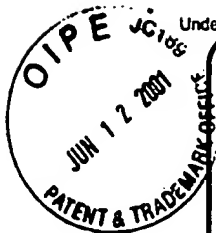
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			Application Number	09/802,026	
			Filing Date	March 8, 2001	
			First Named Inventor	Robert R. Champion	
			Group Art Unit	2161	
			Examiner Name	TBA	
Sheet	1	of	2	Attorney Docket Number	1912-001

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
162		6,154,732		Tarbox	11/28/2000	
		6,088,685		Kiron et al	07/11/2000	
		6,064,986		Edelman	05/16/2000	
		6,061,661		Hagan	05/09/2000	
		5,875,437		Atkins	02/23/1999	
		5,852,811		Atkins	12/22/1998	
		5,809,484		Mottola et al.	09/15/1998	
		5,806,048		Kiron et al	09/08/1998	
		5,784,696		Melnikoff	07/21/1998	
		5,774,881		Friend et al	06/30/1998	
		5,644,727		Atkins	07/01/1997	
		5,220,500		Baird et al.	06/15/1993	
		5,126,936		Champion et al	06/30/1992	
		4,953,085		Atkins	08/28/1990	
		4,903,201		Wagner	02/20/1990	
		4,597,046		Musmanno et al	06/24/1986	
		4,376,978		Musmanno	03/15/1983	
	64		4,346,442		Musmanno	08/24/1982
		3,697,693		Deschenes et al	10/10/1972	

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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